

D-2939CIPCONDIV1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT

In the application of: )  
Williams et al ) Group Art Unit: N/A  
 ) (Prior App.: 1648)  
Serial No. N/A ) Examiner: N/A  
 ) (Prior App.: Li, Bao Q.)  
Filing Date: HEREWITH )  
 )  
For: SOLUBLE RECOMBINANT BOTULINUM )  
TOXIN PROTEINS )

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Dear Sir:

Applicant wishes to call to the attention of the Examiner the documents cited on the accompanying Form PTO-1449. No concession is made that these documents are prior art, and applicant expressly reserves the right to antedate the documents as may be appropriate. Applicant requests that each of these documents be made of record in the above-identified application.

All of these documents were cited in related Application Serial No. 08/405,496, filed March 16, 1995 and Application Serial No. 08/704,159, filed August 28, 1996.

Therefore, no copies of these documents are submitted herewith.

~~Respectfully submitted,~~

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ORM PTO-1449  
(modified)U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: D-2139(CPCC)

Serial No.: 11/1A

DVI

Applicant: James A. Williams et al.

Filing Date: 1/14/92

Group Art Unit:

INFORMATION DISCLOSURE STATEMENT BY APPLICANT  
(Use Several Sheets If Necessary)

37 CFR § 1.98(b))

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Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patente	Class	Subclass	Filing Date
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Examiner:

Date Considered:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)			U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: A-293A/CIP/CD DIV I		Serial No.: N/A	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use Several Sheets If Necessary) (37 CFR § 1.98(b))					Applicant: James A. Williams <i>et al.</i>			
					Filing Date: <i>here with</i>		Group Art Unit:	
<b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Relevant Pages, Place of Publication)								
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<b>EXAMINER:</b> Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

FORM PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney Docket No.: D-2439UPCDN Serial No.: N/A  
DIVIINFORMATION DISCLOSURE STATEMENT BY APPLICANT  
(Use Several Sheets If Necessary)

(37 CFR § 1.98(b))

Applicant: James A. Williams et al.

Filing Date: 1/26/98

Group Art Unit:

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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No.: A-2939CIPCN Serial No.: N/A D1V1
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)		Applicant: James A. Williams et al.
(37 CFR § 1.98(b))		Filing Date: 10/10/1991 Group Art Unit:

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Examiner:

Date Considered:

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No.: D-213PCIPCON	Serial No.: N/A
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)			DVI Applicant: James A. Williams et al.	
(37 CFR § 1.98(b))			Filing Date: 11/20/94	Group Art Unit:
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114	Food and Drug Administration Document (Docket No. 79D-0465) 48 FR 27835, June 17, 1983			
Examiner:		Date Considered:		
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<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> <small>(Use several sheets if necessary)</small>				Docket Number: <u>D-293R/CIP/CON</u>		Application Number: <u>n/a</u>			
				Applicant: Williams et al.		DIVI			
				Filing Date: <u>10/10/1988</u>		Group Art Unit:			
<b>U. S. PATENT DOCUMENTS</b>									
EXAMINER INITIAL	DOCUMENT NUMBER			DATE	NAME	CLASS	SUBCLASS		
<b>FOREIGN PATENT DOCUMENTS</b>									
	DOCUMENT NUMBER			DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
								YES	NO
<b>OTHER DOCUMENTS</b>									
	<u>Plotkin et al., Vaccines, published by W.B. Saunders Company, Philadelphia, p. 571 (1988)</u>								
	<u>Nygren, P.-A. Et al. Trends in Biotechnology 12(5): 184-188</u>								
EXAMINER				DATE CONSIDERED					

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